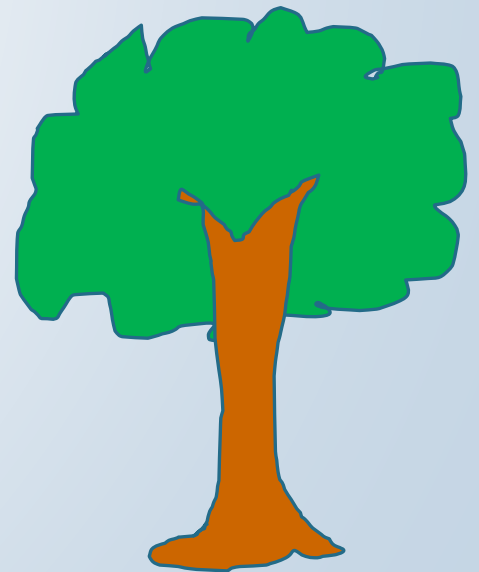
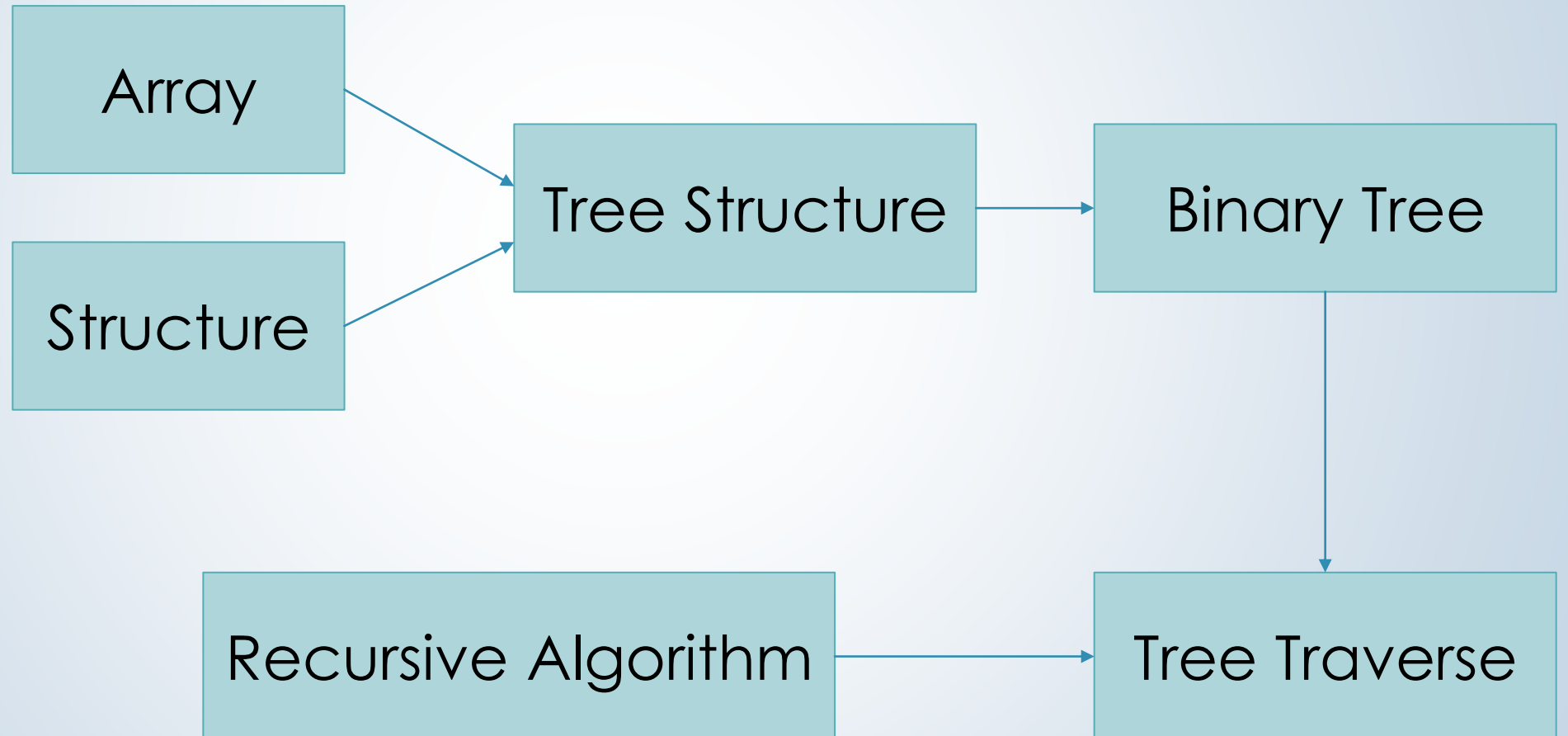


DET102 Data Structures and Algorithms

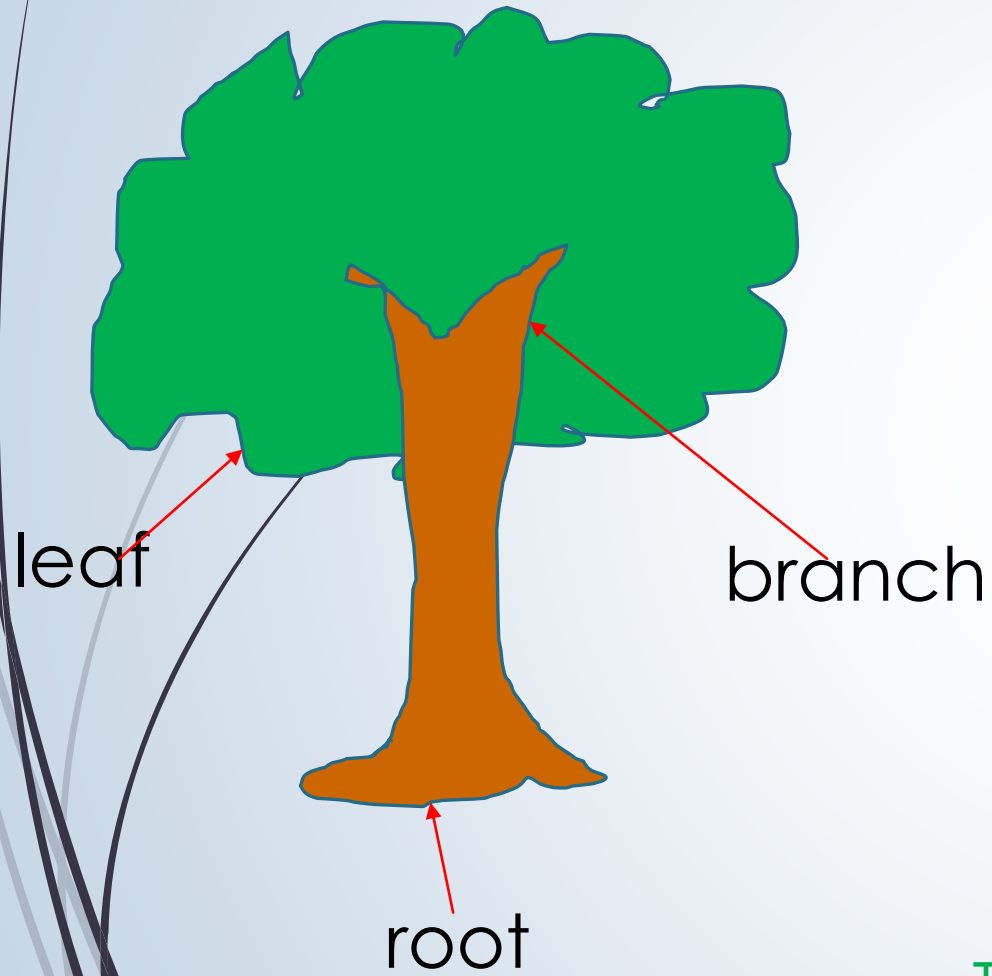
Lecture 06: Tree



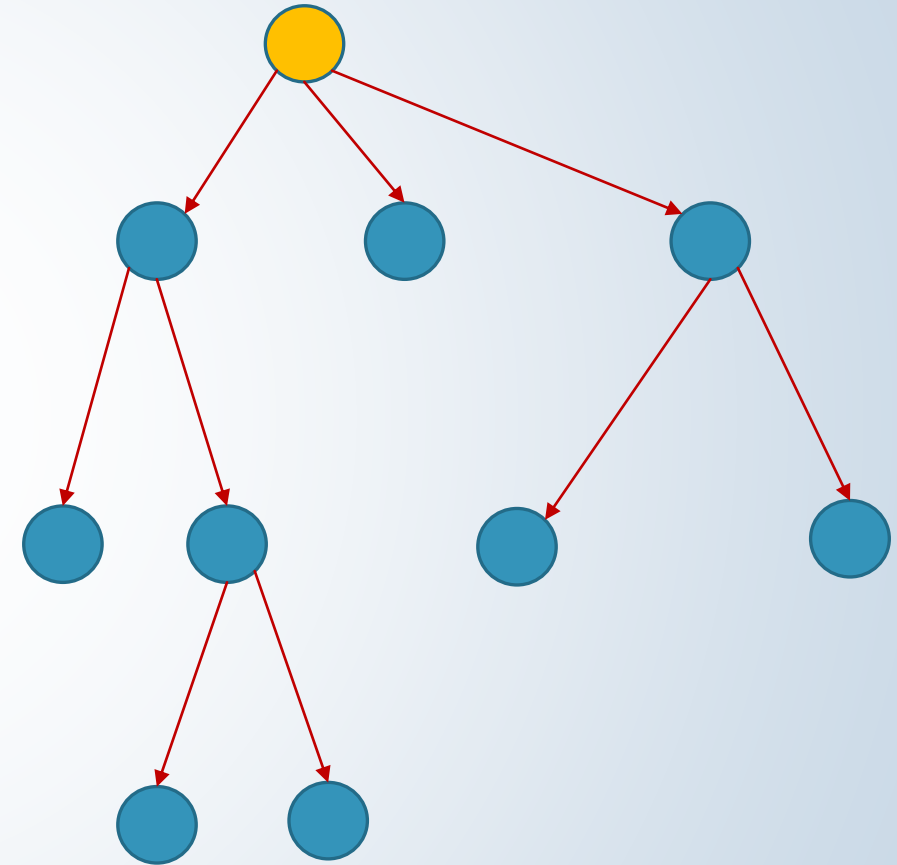
Outline



tree

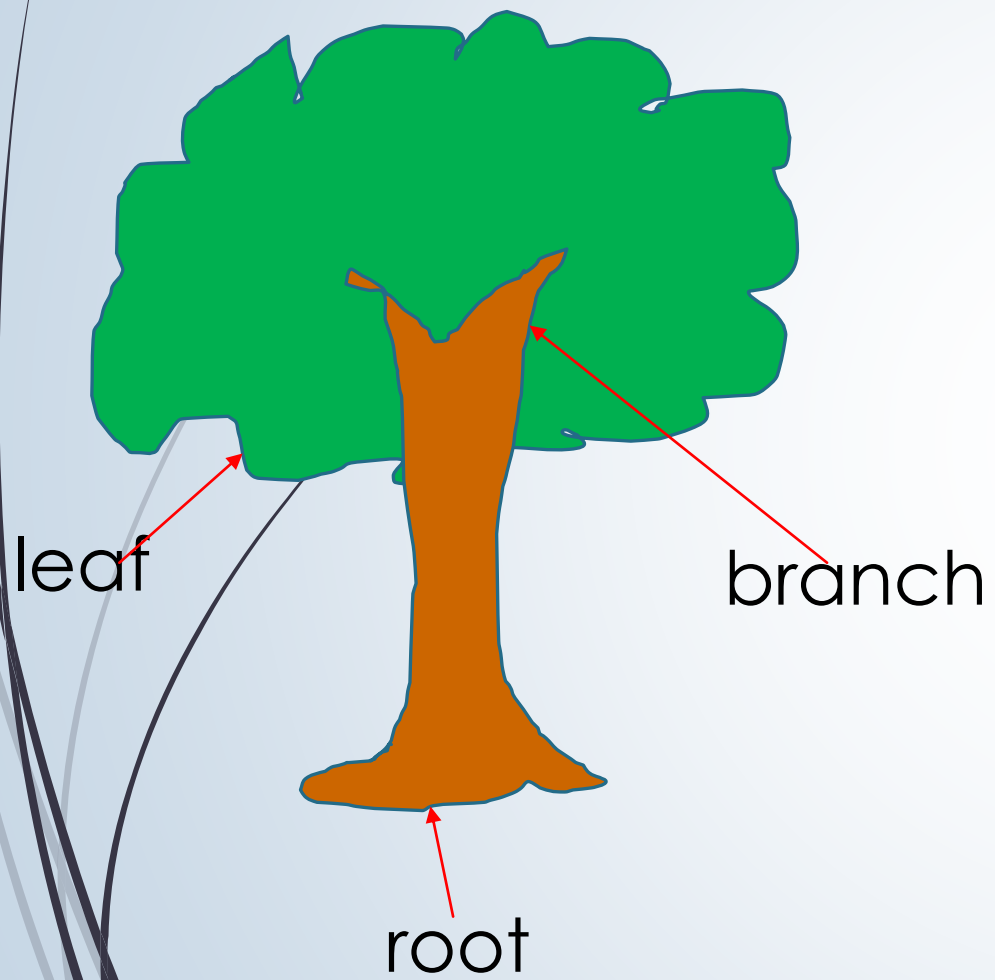


(rooted) tree

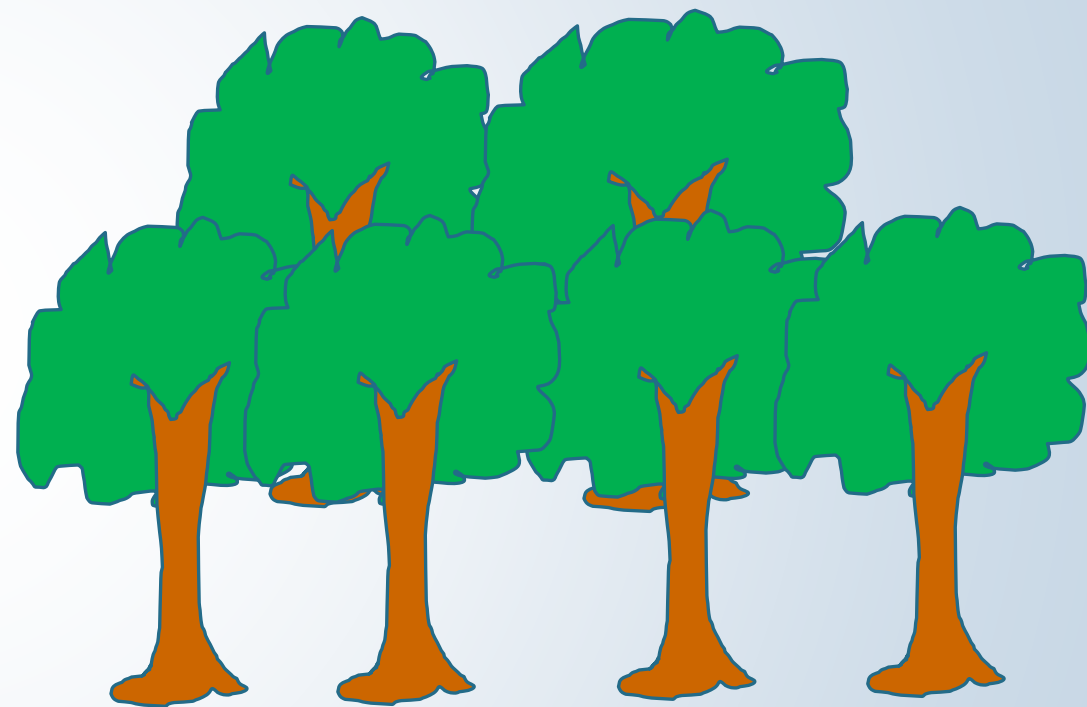


Tree is a data structure, containing nodes and edges.

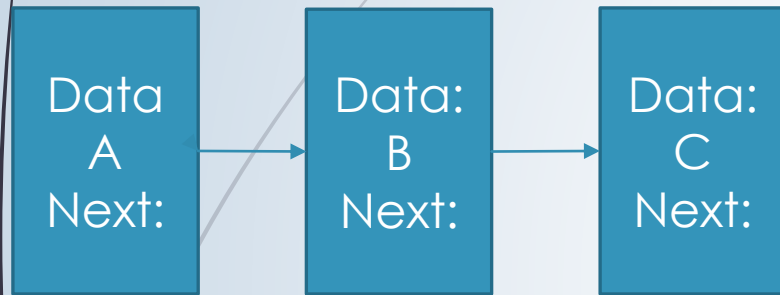
tree



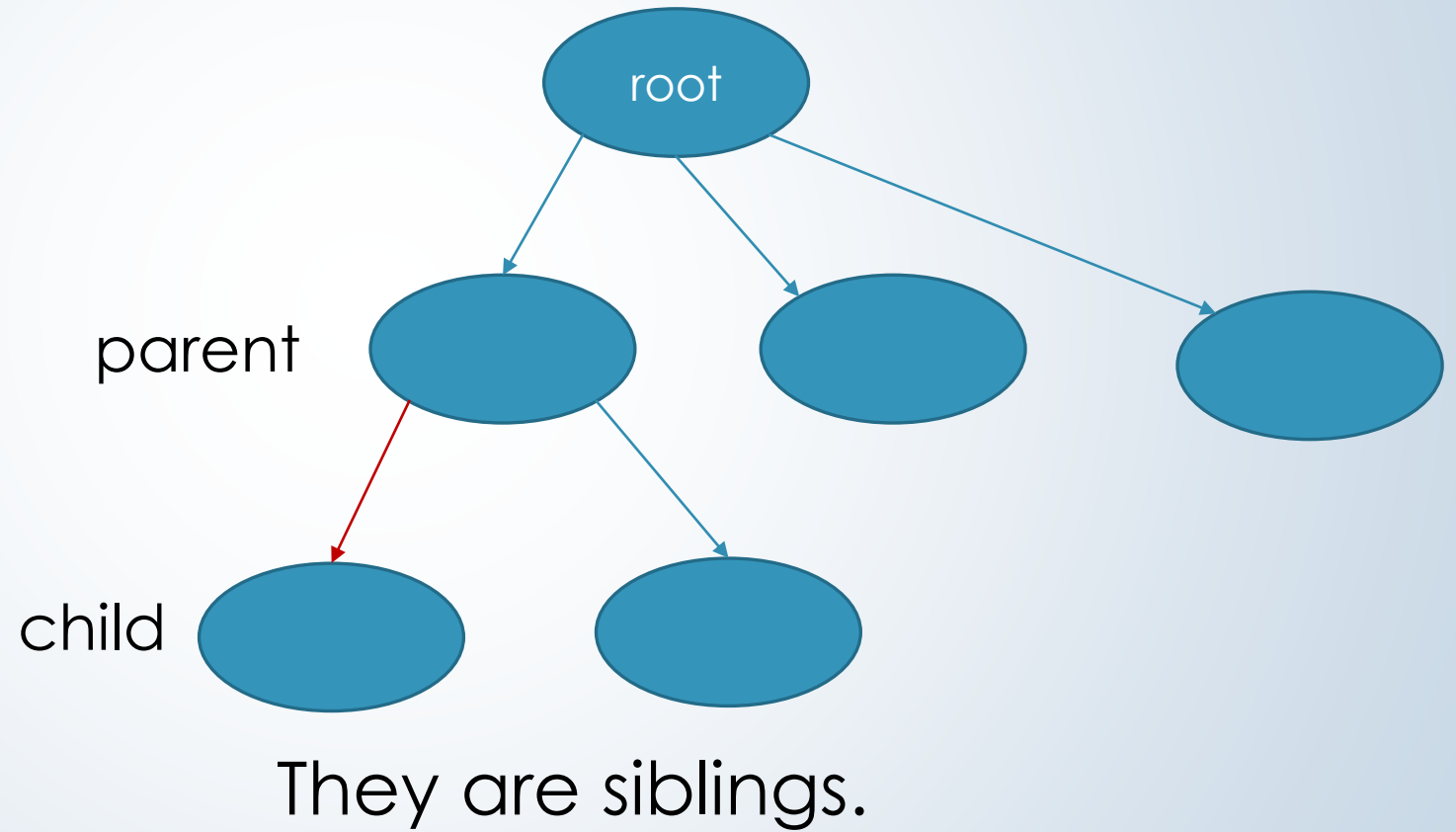
forest



Linked list



Tree



Constraints

➡ 1. Connected

➡ Starting from the root, there exists a path reaching each node

➡ 2. NO cycle

➡ Cycle: you will visit some nodes twice

one and only one
path (unique path)

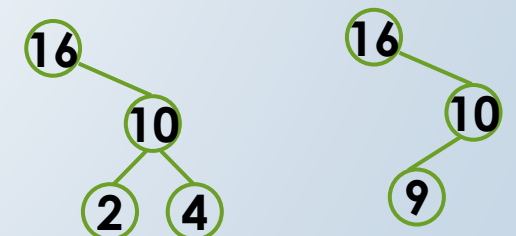
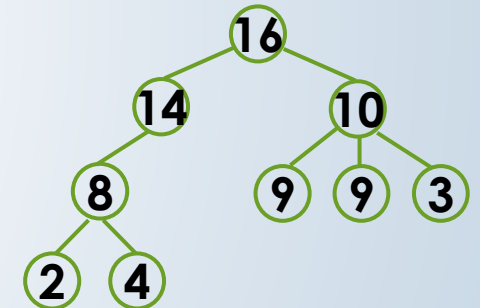
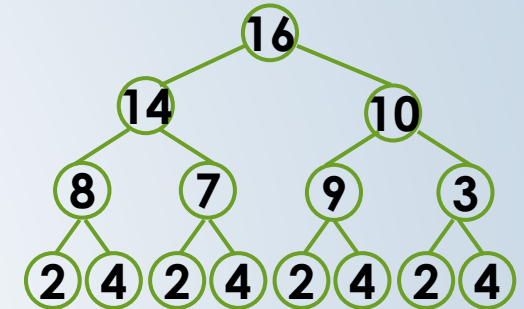
Definition and Terminology

Definition:

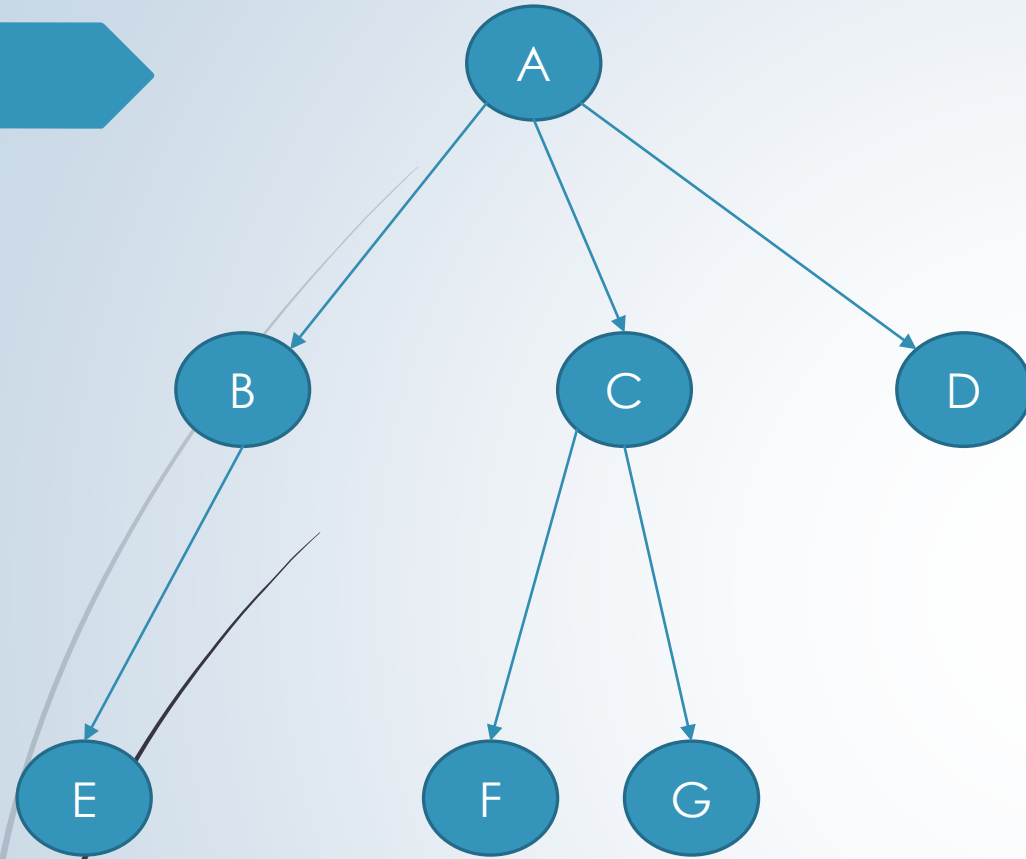
Tree is defined as a finite set T of one or more nodes such that

- there is one specially designated node called the **root** of the tree, $\text{root}(T)$ and
- the remaining nodes (excluding the root) are partitioned into $m \geq 0$ disjoint sets T_1, T_2, \dots, T_m and each of these sets in turn is a tree. The trees T_1, T_2, \dots, T_m are called the **subtrees** of the root.

4 examples



A is the root.



Level 1

Level 2

Level 3

Parent-child
relationship

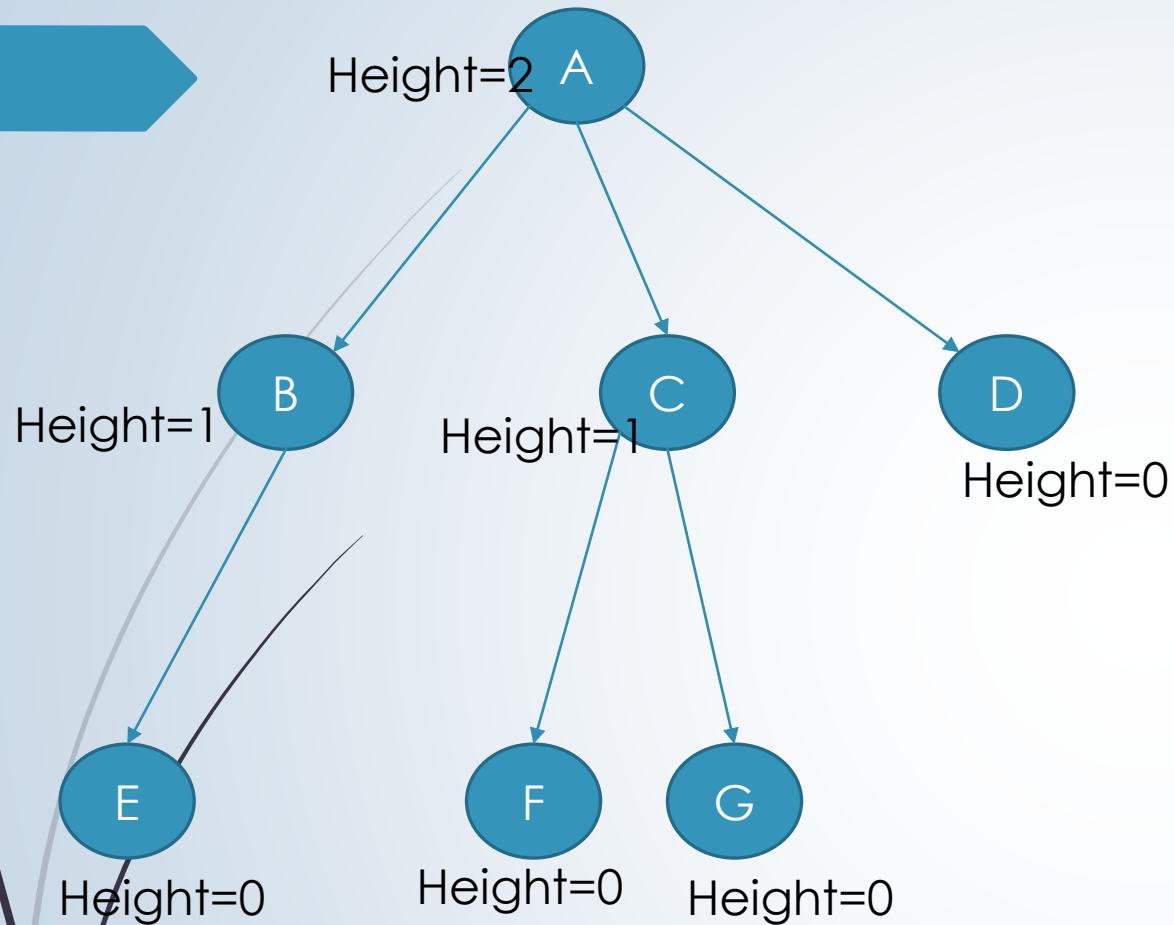
Question 1: Who is(are)
node C's sibling(s) ?

Question 2: Who is(are)
node F's sibling(s) ?

The **level** of a node is defined by
1+ the number of connections
between the node and the root.

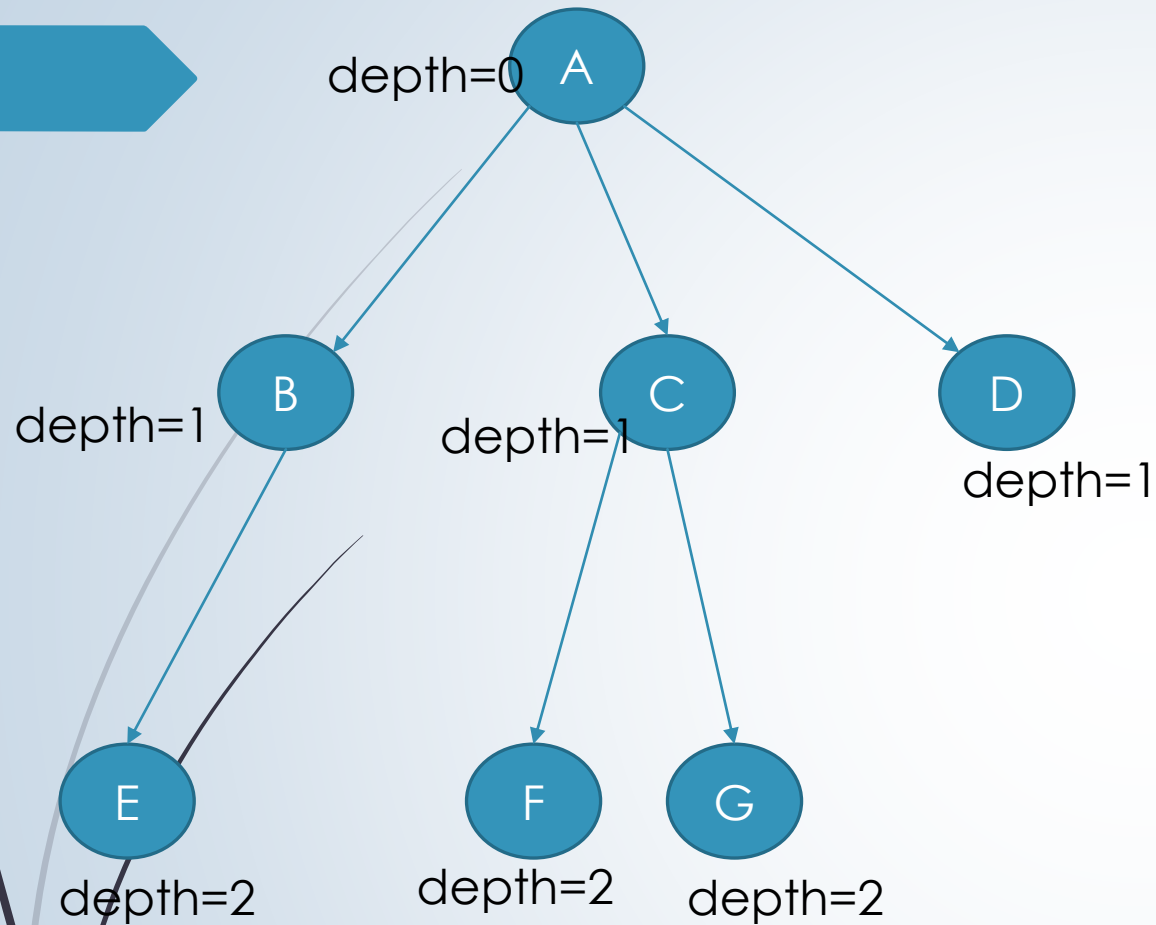
Each child has only one parent. Root has no parent.

Siblings: nodes having the same parent.



Height of a **node** is the number of edges between it and the **furthest** leaf on the tree.

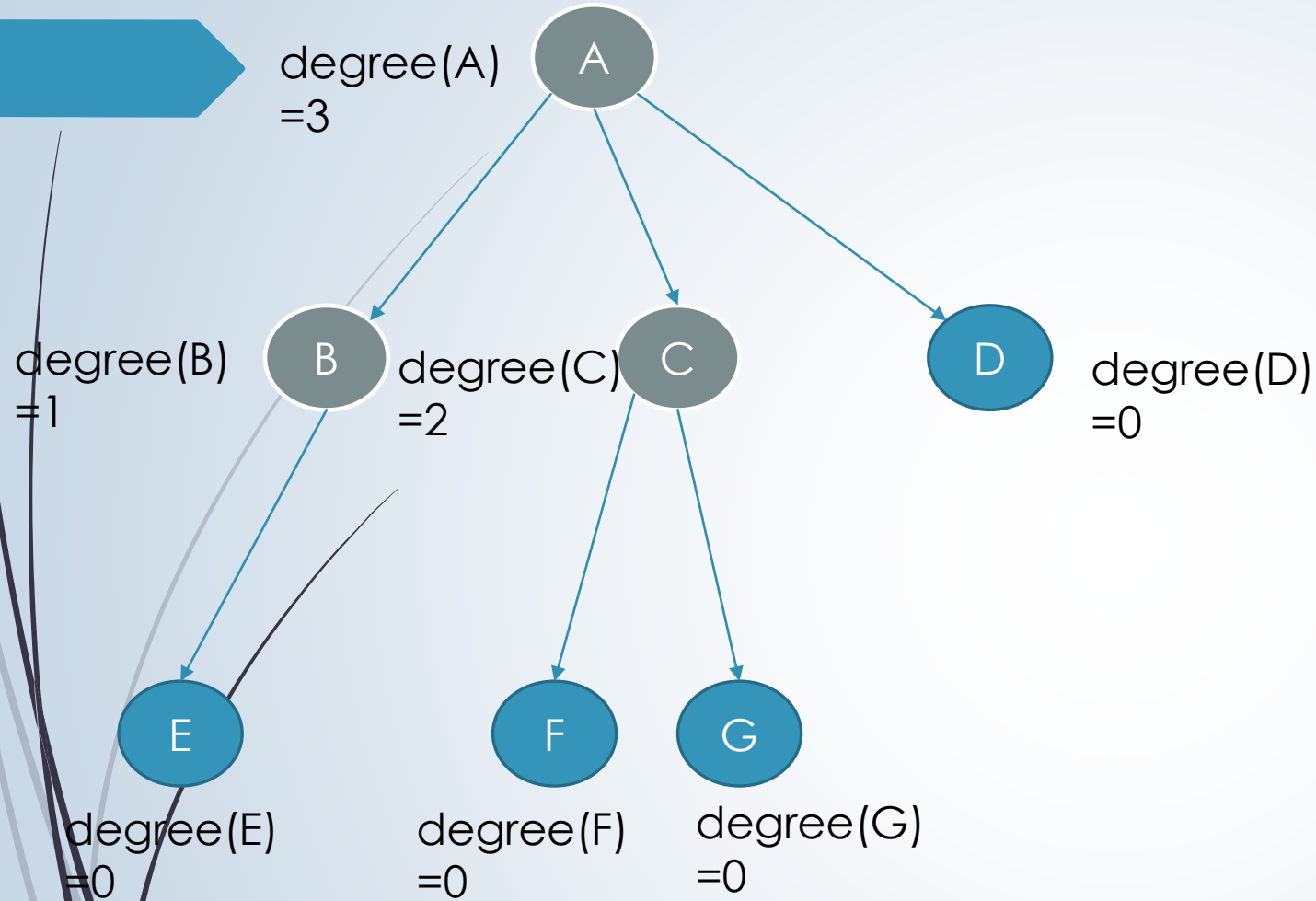
Height of the **tree** is the height of the root.



$$\text{level} = \text{depth} + 1$$

Depth of a **node** is the number of edges between it and the root.

Height and **depth** move inversely.

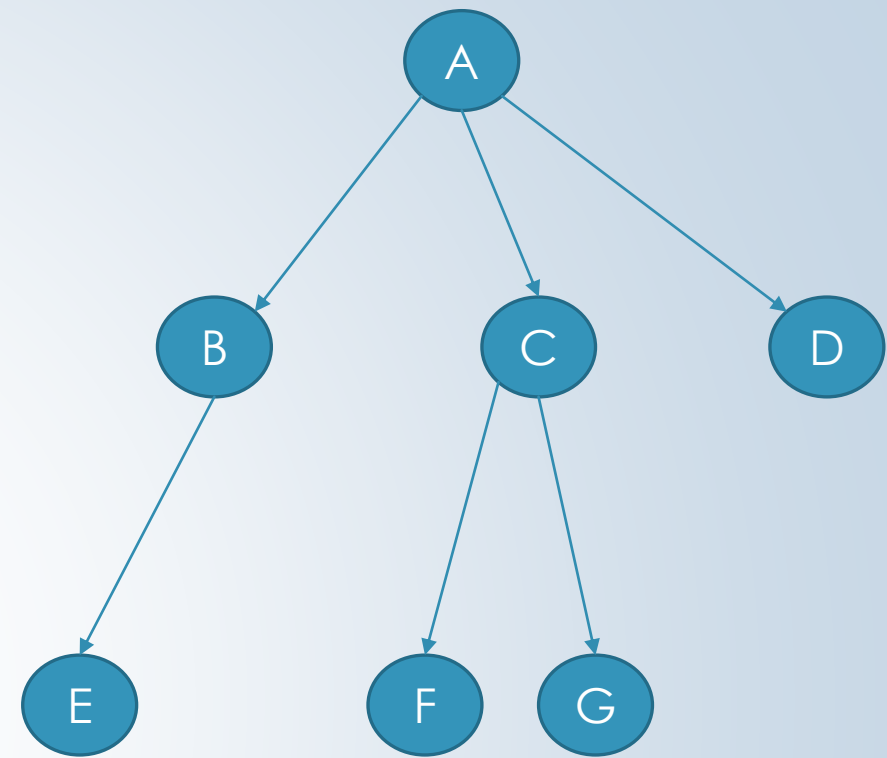


Degree of a node is the number of children of that node.

Degree of an internal node is at least 1.

Degree of a leaf is zero.

Terminology	Explanation
Degree of a node	The number of subtrees of a node
Terminal node or leaf	A node of degree zero
Branch node or internal node :	A nonterminal node
Parent and Siblings	Each root is said to be the parent of the roots of its subtrees, and the latter are said to be siblings; they are children of their parent.
A Path from n_1 to n_k	a sequence of nodes n_1, n_2, \dots, n_k such that n_i is the parent of n_{i+1} for $0 < i < k$. The length of this path is the number of edges on the path.
Ancestor and Descendant	If there is a path from n_1 to n_k , we say n_k is the descendant of n_1 and n_1 is the ancestor of n_k
Level or Depth of node	The length of the unique path from root to this node
Height of a tree	The maximum level of any leaf in the tree



Every node is an ancestor of itself. Every node is an descendant of itself.

A *proper ancestor* of n is any node y such that node y is an ancestor of node n and y is not the same node as n . A *proper descendant* of n is any node y for which n is an ancestor of y and y is not the same node as n .

Definition and Terminology

13

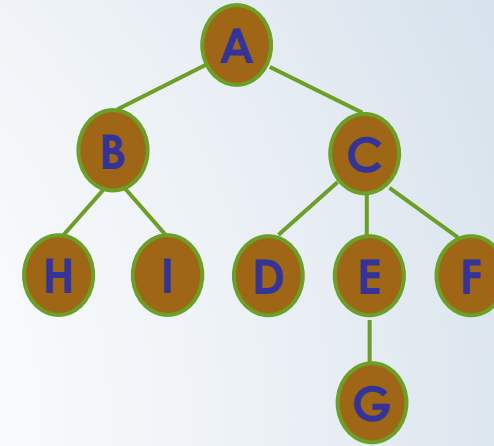
Level of node :

State the levels of all the nodes:

A:____, B:____, C:____,

D:____, E:____, F:____,

G:____, H:____, I:____



Root of a tree:

Root of the tree is: _____

Height of a tree:

Height of the tree is: _____

Degree of a node :

State the degrees of:

A:____, B:____, C:____,

D:____, E:____, F:____,

G:____, H:____, I:____

Terminal node or **leaf**:

State all the leaf nodes: _____

Branch node:

State all the branch nodes: _____

Definition and Terminology

Parent and Siblings:

State the parents of:

A:____, B:____, C:____,

D:____, E:____, F:____,

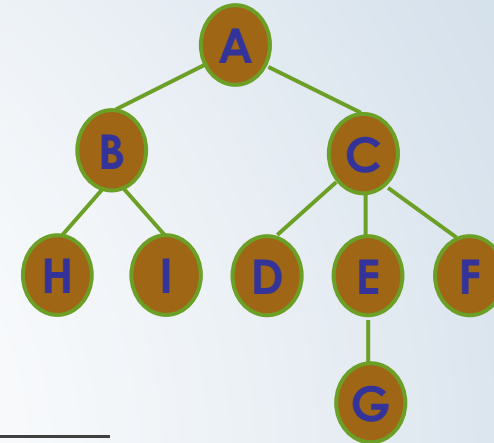
G:____, H:____, I:____

State the siblings of:

A:_____, B:_____,

C:_____, D:_____, E:_____,

F:_____, G:_____, H:_____, I:_____



Ancestor and Descendant:

State the ancestors of:

A:_____, B:_____, C:_____, D:_____,

E:_____, F:_____, G:_____,

H:_____, I:_____

State the descendants of: A:_____,

B:_____, C:_____,

D:____, E:____, F:____, G:____, H:____, I:_____

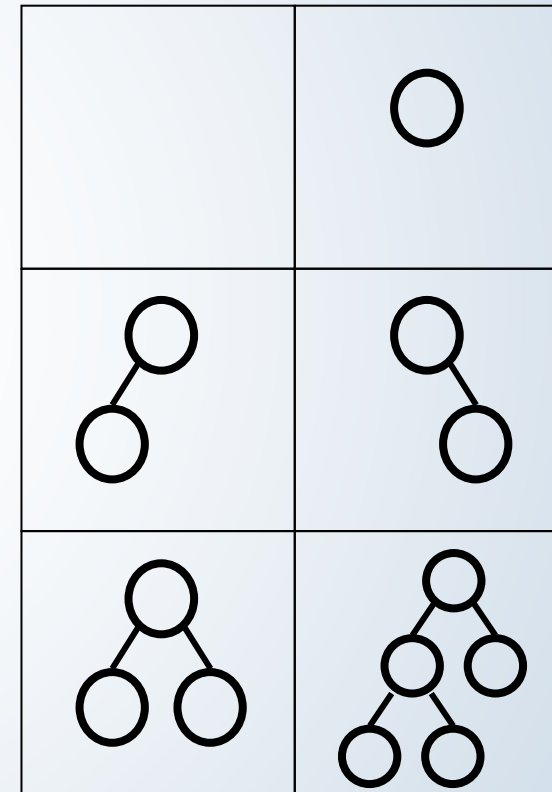
Binary Tree

Definition:

Binary tree can be defined as a finite set of nodes that either

- ➡ is empty, or
- ➡ consists of
 - (1) a root, and
 - (2) the elements of 2 disjoint **binary trees** called the left and right subtrees of the root.

6 Examples of Binary tree:

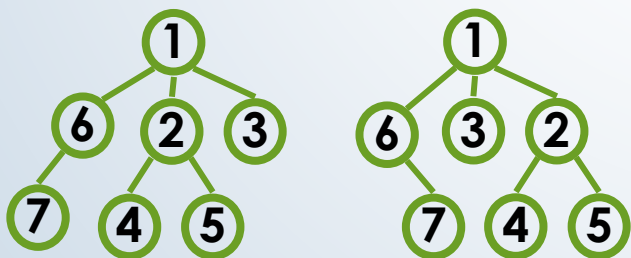


Binary Tree

Comparison: Tree

- A tree must have at least 1 node
- Each node has 0, 1, 2, .. or many subtrees.
- We don't distinguish subtrees according to their orders.

For example, these are _____:



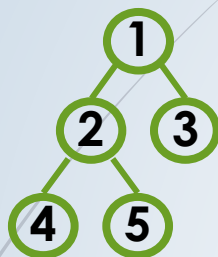
Binary tree

- A binary tree may be empty
- Each node has 0, 1, or 2 subtrees.
- We distinguish between the left and right subtree.

For example, these are _____:



Properties of Binary Tree



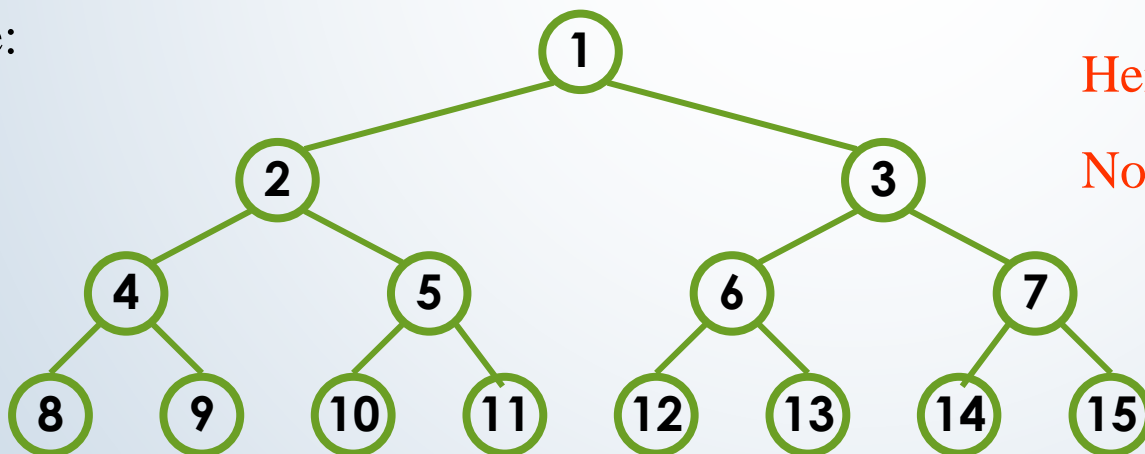
Maximum number of nodes

- Consider the levels of a binary tree: level 1, level 2, level 3, ..
- Maximum number of nodes on a level is 2^{level_id-1} .
- Maximum number of nodes in a binary tree is $2^{height_of_tree+1} - 1$.

Full Binary Tree:

$$\text{No. of nodes} = 2^{height_of_tree + 1} - 1$$

Example:



Height of tree = 3

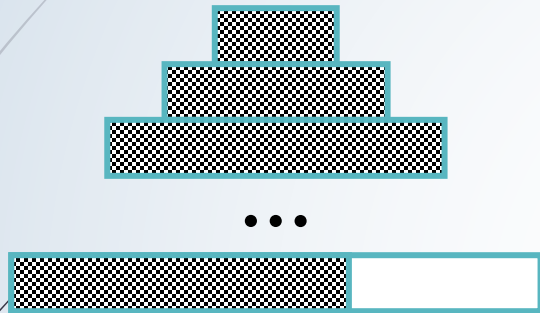
$$\begin{aligned} \text{No. of nodes} &= 2^{height_of_tree + 1} - 1 \\ &= \underline{\hspace{2cm}} \end{aligned}$$

Properties of Binary Tree

18

Complete Binary Tree:

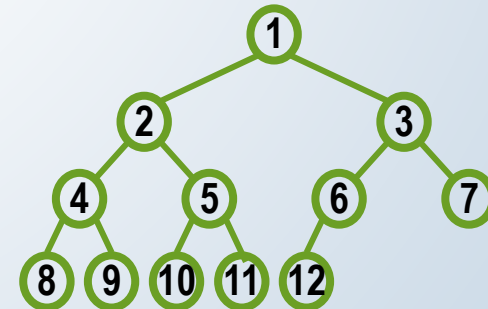
A complete binary tree is like a full binary tree,
But in a complete binary tree,



- Except the bottom level: all are fully filled.
- The bottom level: The filled slots are at the left of the empty slots (if any).

Definition: A binary tree with n nodes and height k is **complete** if and only if its nodes correspond to the nodes numbered from 1 to n in the fully binary tree of height k .

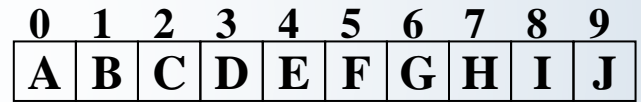
- ➔ Each leaf in a tree is either at level k or level $k+1$
- ➔ Each node has exactly 2 subtrees at level 1 to level $k-1$



Example 1:

```

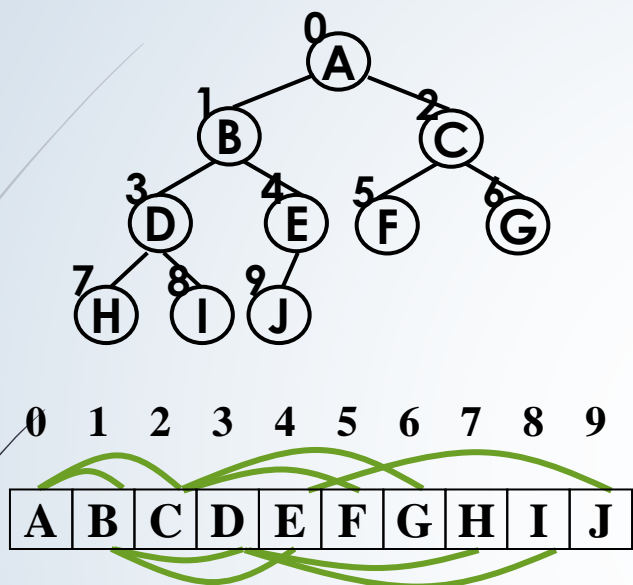
graph TD
    A((A)) --- B((B))
    A --- C((C))
    B --- D((D))
    B --- E((E))
    C --- F((F))
    C --- G((G))
    D --- H((H))
    D --- I((I))
    E --- J((J))
  
```



Example 2:



Array Representation of Binary Tree



Children of a node at slot i :

$$\text{Left}(i) = 2i+1$$

$$\text{Right}(i) = 2i+2$$

Parent of a node at slot i :

$$\text{Parent}(i) = \lfloor (i-1)/2 \rfloor$$

$\lfloor x \rfloor$: "Floor" The greatest integer less than x

$\lceil x \rceil$: "Ceiling" The least integer greater than x

For any slot i ,

If i is odd: it represents a left son.

If i is even (but not zero): it represents a right son.

The node at the right of the represented node of i (if any), is at $i+1$.

The node at the left of the represented node of i (if any), is at $i-1$.

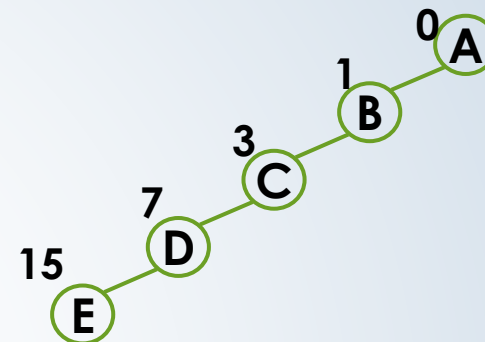
Array Representation of Binary Tree

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
A	B	-	C	-	-	-	D	-	-	-	-	-	-	-	E

Unused array elements (not exist or is NULL) must be flagged for non-full binary tree.

Solutions:

1. put a special value in the location
2. Add a “used” field (true/false) to each node.



Advantages and Disadvantages of using array to represent binary tree:

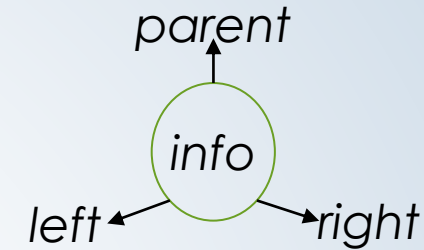
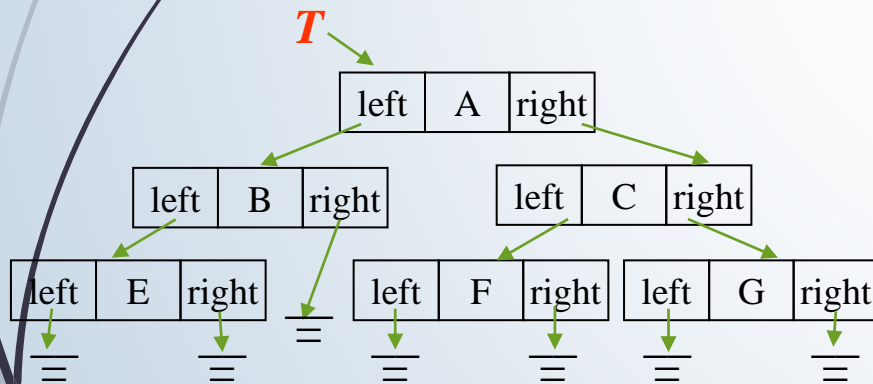
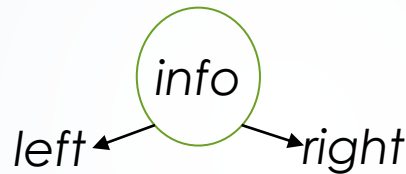
- Simpler
- Save storage for trees known to be almost full.
- Waste of space (except complete binary tree)
- Maximum size of the tree is fixed in advance
- Inadequacy: insertion and deletion of nodes from the middle of a tree require the movement of potentially many nodes

Linked Representation of Binary Tree

22

Link Representation of Binary Tree

- Each node contains *info*, *left*, *right*, *parent* fields
- where *left*, *right*, *parent* fields are node pointers pointing to the node's left son, right son, and parent, respectively.
- If the tree is always traversed in downward fashion (from root to leaves), the *parent* field is unnecessary.



T.left(p): Return the position that represents the left child of p, or None if p has no left child.

T.right(p): Return the position that represents the right child of p, or None if p has no right child.

T.sibling(p): Return the position that represents the sibling of p, or None if p has no sibling.

- If the tree is empty, root = NULL; otherwise from root you can find all nodes.
- root->left and root->right point to the left and right subtrees of the root, respectively.

Binary Tree Operations - height

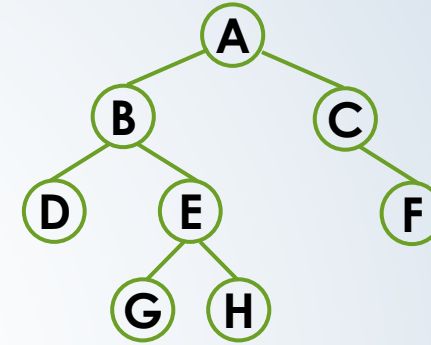
23

Review:



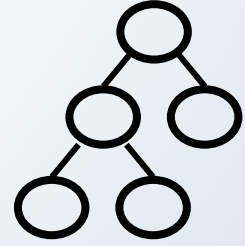
Depth of node : The depth of root(T) is zero.

The depth of any other node **is one larger than** his parent's depth

Height of a tree: The maximum depth of any leaf in the tree




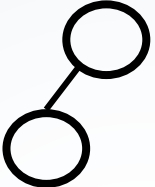
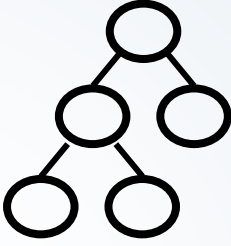
Example:

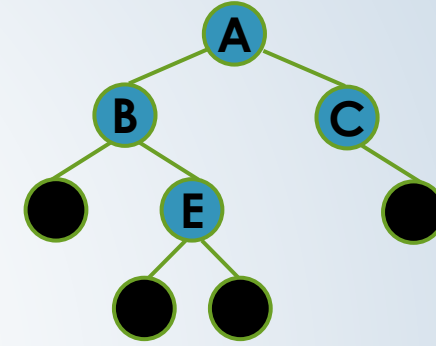
Height of a NULL binary tree is 0	 Height of a tree with 1 node is 0	 Height = 1	 Height = 2
-----------------------------------	---	--	--

Binary Tree Operations - countleaves

24

Example:

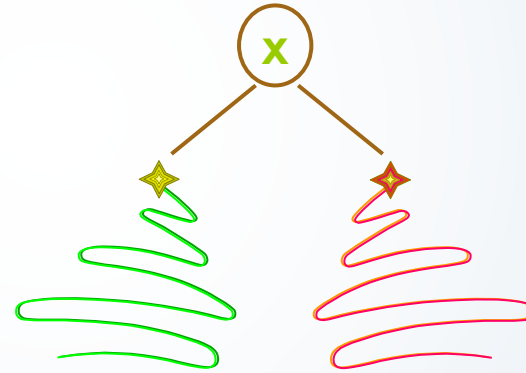
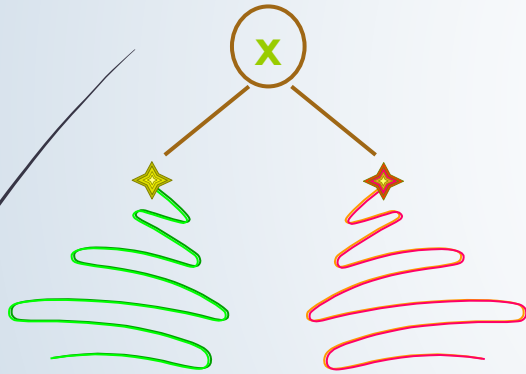
		
A NULL binary tree has 0 leaf node	A tree with 1 node has 1 leaf node	No. of leaf nodes = 1



//To count the number of leaf nodes

```
def count_leaf(p):  
    if p == None:  
        return 0  
    elif ((p.left == None) and (p.right == None)):  
        return 1  
    else:  
        return(count_leaf(p.left) + count_leaf(p.right))
```

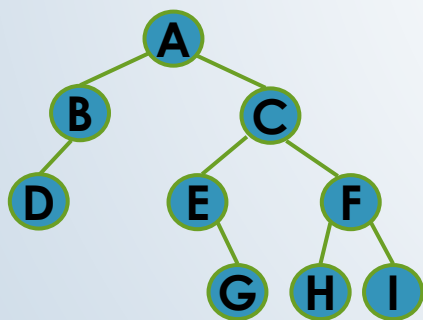
Binary Tree Operations - equal



Traversing Binary Tree

Traversing / walking through

A method of examining the nodes of the tree systematically so that each node is visited exactly once.



Three principle ways:

When the binary tree is empty, it is “traversed” by doing nothing, otherwise:

preorder traversal

Visit the root

Traverse the left subtree

Traverse the right subtree

A B D C E G F H I

inorder traversal

Traverse the left subtree

Visit the root

Traverse the right subtree

D B A E G C H F I

postorder traversal

Traverse the left subtree

Traverse the right subtree

Visit the root

D B G E H I F C A

Preorder Traversal

- The order of visitation of nodes is “**root**, left, right”
 - first visit the node at the root of any subtree
 - then visit its left child
 - then visit its right child
- Any child may itself be the root of a subtree, so this traversal is inherently recursive.

```
procedure PREORDER(T)
```

```
  visit T
```

```
  if there is a left child, PREORDER(left child(T))
```

```
  if there is a right child, PREORDER(right child(T))
```

Inorder Traversal

- ▶ The order of visitation of nodes is “left, root, right”
 - ▶ first visit its left child
 - ▶ then visit the node at the root of any subtree
 - ▶ then visit its right child
- ▶ Any child may itself be the root of a subtree, so this traversal is also inherently recursive.

```
procedure INORDER(T)
  if there is a left child, INORDER(left child(T))
  visit T
  if there is a right child, INORDER(right child(T))
```

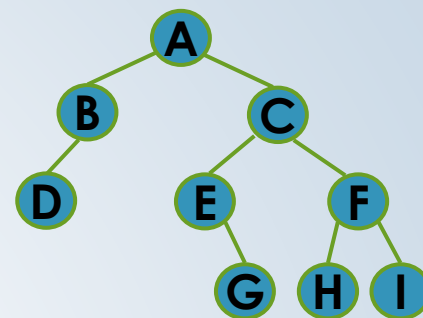
Postorder Traversal

- The order of visitation of nodes is “left, right, **root**”
 - first visit its left child
 - then visit its right child
 - then visit the node at the root of any subtree
- Any child may itself be the root of a subtree, so this traversal is also inherently recursive.

```
procedure POSTORDER(T)
if there is a left child, POSTORDER(left child(T))
if there is a right child, POSTORDER(right child(T))
visit T
```


Traversing Binary Tree

Example:



When the binary tree is empty, it is "traversed" by doing nothing, otherwise:

preorder traversal

Visit the root

Traverse the left subtree

Traverse the right subtree

ABDCEGFHI

Result:

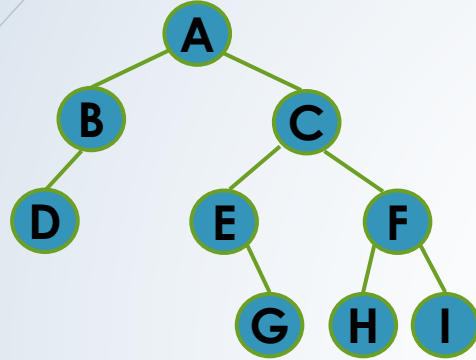
= A (A's left) (A's right)
 = A B (B's left) (B's right = NULL) (A's right)
 = A B (B's left) (A's right)
 = A B D (D's left=NULL) (D's right = NULL) (A's right)
 = A B D (A's right)
 = A B D C (C's left) (C's right)
 = A B D C E (E's left=NULL) (E's right) (C's right)
 = A B D C E (E's right) (C's right)
 = A B D C E G (G's left=NULL) (G's right = NULL) (C's right)
 = A B D C E G (C's right)
 = A B D C E G F (F's left) (F's right)
 = A B D C E G F H (H's left=NULL) (H's right = NULL) (F's right)
 = A B D C E G F H I (I's left=NULL) (I's right = NULL)
 = A B D C E G F H I

Traversing Binary Tree

31

Exercise:

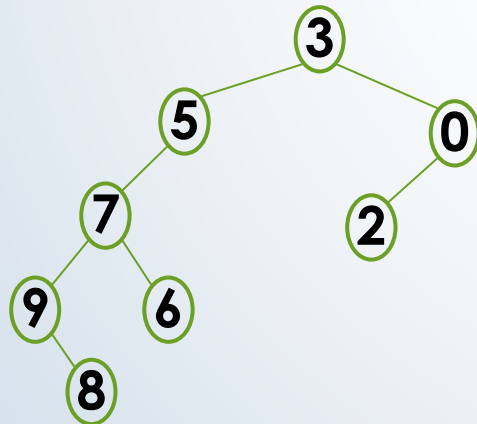
1. Examine the inorder and postorder traversals of the tree:



inorder:

postorder:

2. Examine the preorder, inorder and postorder traversals of the tree:



preorder:

inorder:

postorder:

Traversing Binary Tree

32

Reconstruction of Binary Tree from its preorder and Inorder sequences

Example: Given the following sequences, find the corresponding binary tree:

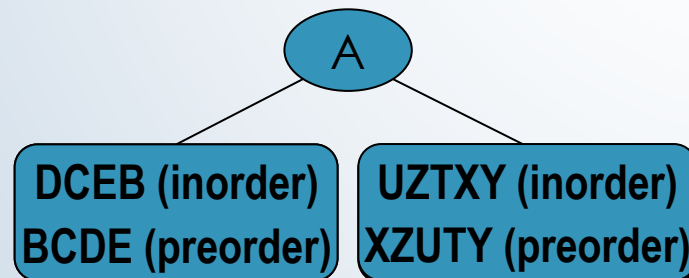
preorder : ABCDEXZUTY

inorder : DCEBAUZTXY

Looking at the whole tree:

- ▶ “preorder : **A**BCDEXZUTY”
==> A is the root.
- ▶ Then, “inorder : DCEB**A**UZTXY”

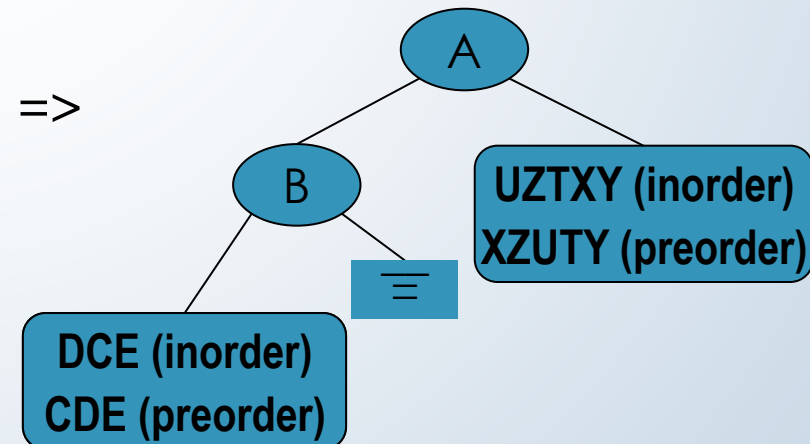
==>



Looking at the left subtree of A:

- “preorder : BCDE”
==> B is the root
- Then, “inorder: DCE**B**”

==>



Traversing Binary Tree

33

Reconstruction of Binary Tree from its preorder and Inorder sequences

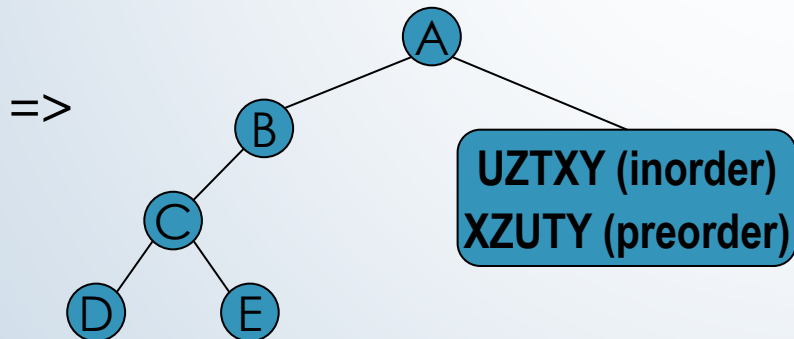
Example: Given the following sequences, find the corresponding binary tree:

preorder : ABCDEXZUTY

inorder : DCEBAUZTXY

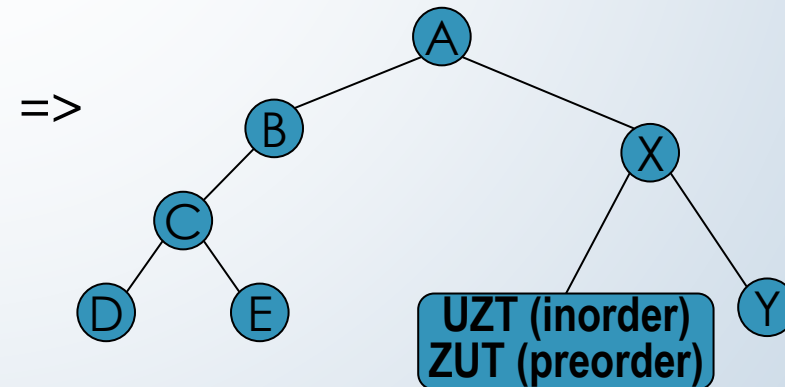
Looking at the left subtree of B:

- “preorder : CDE”
==> C is the root
- Then, “inorder: DCE”



Looking at the right subtree of A:

- “preorder : XZUTY”
==> X is the root
- Then, “inorder: UZTXY”



Traversing Binary Tree

34

Reconstruction of Binary Tree from its preorder and Inorder sequences

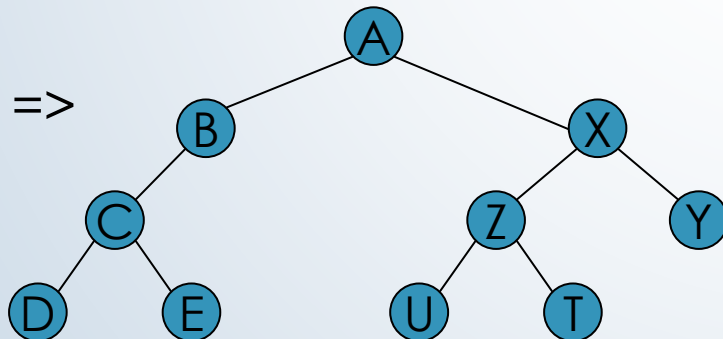
Example: Given the following sequences, find the corresponding binary tree:

preorder : ABCDEXZUTY

inorder : DCEBAUZTXY

Looking at the left subtree of X:

- “preorder : ZUT”
==> Z is the root
- Then, “inorder: UZT”



Traversing Binary Tree

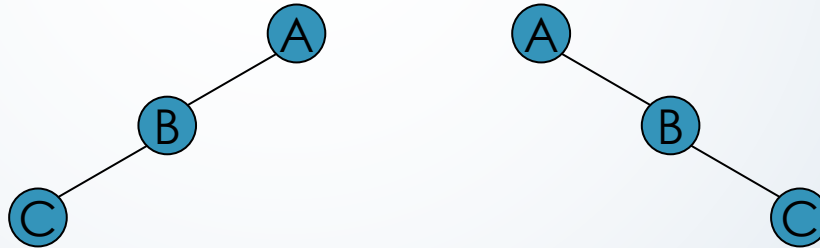
35

But: A binary tree may not be uniquely defined by its preorder and postorder sequences.

Example: Preorder sequence: ABC

Postorder sequence: CBA

We can construct 2 different binary trees:

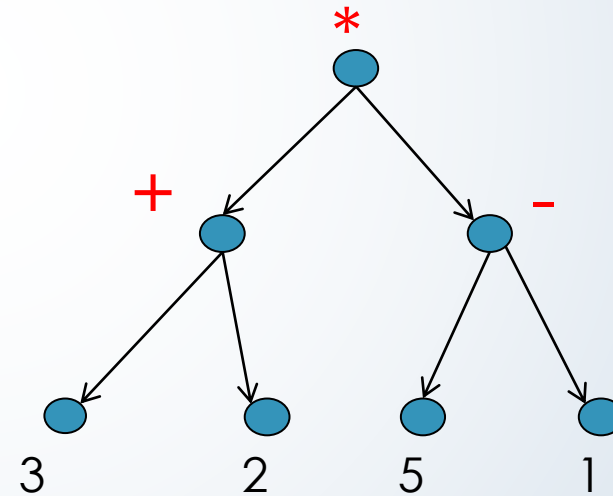
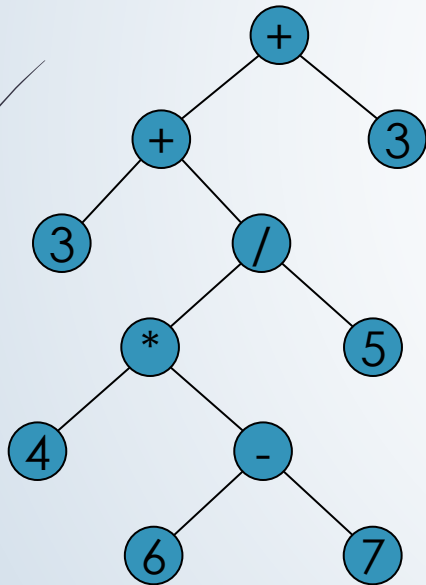


Applications of Binary Tree

Representation of General Function Expression

Example:

For $3+4*(6-7)/5+3$:

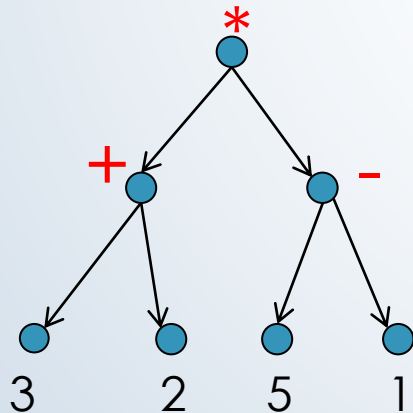


Level Traversal

- ▶ The order that nodes are visited is based on their distance from the root node.
 - ▶ first the root node is visited
 - ▶ then all those nodes that are of distance 1 to the root are visited
 - ▶ and then those nodes that are of distance 2 to the root are visited
 - ▶ ...
- ▶ Since the standard binary representation of a tree does not allow for direct determination of all nodes on the same level, a queue must be used to maintain that information. By adding the children of the node being visited to the end of the **queue**, each level will be traversed before going on to the next.

Algorithm for Level Traversal

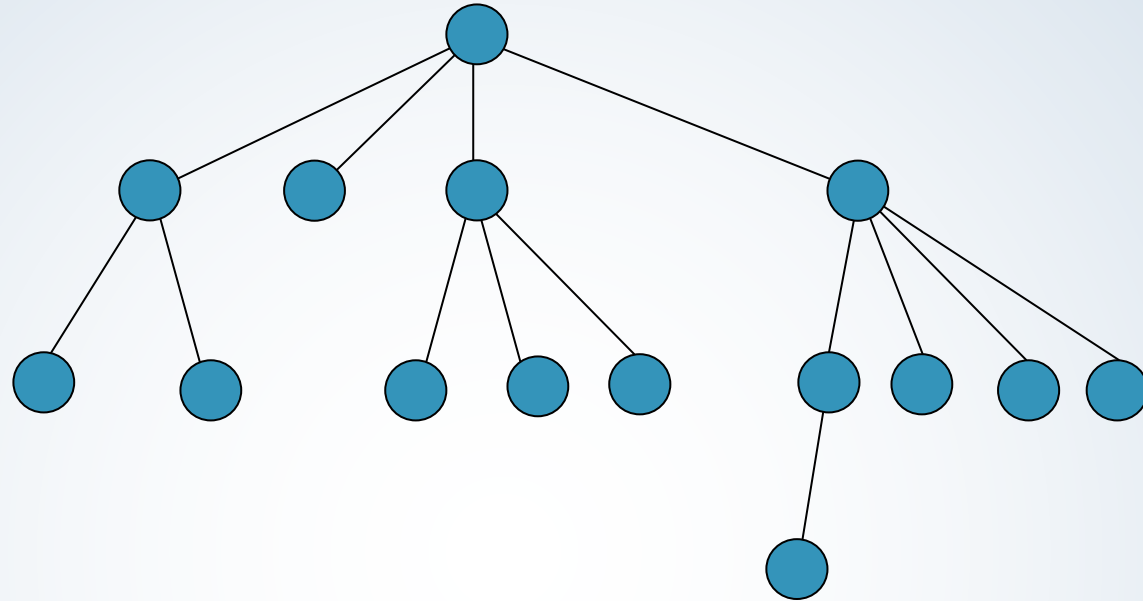
add the root node to the queue
while the queue is not empty
 remove a node, T, from the queue
 visit T
 add T's children (if any) to the queue



Visiting Order is: * + - 3 2 5 1

Level traversal is not normally used with expression tree. But it is very important when you deal with graphs.

Binary Representation of General Tree



- One node can have many children nodes
- Impossible to make so many links
- Is there a way that each node uses only two links?
 - Link1:
 - Link2:

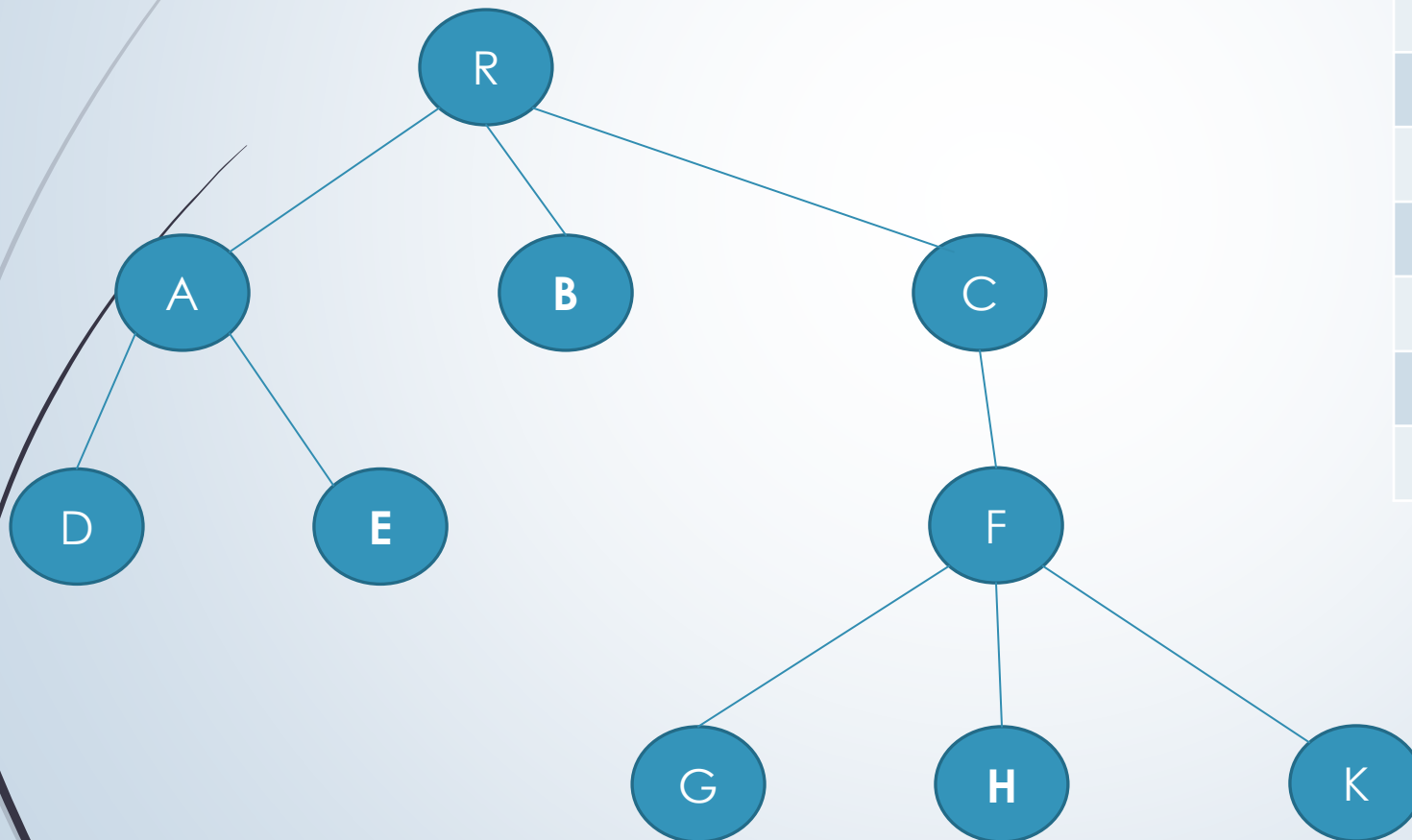


Tree Representation

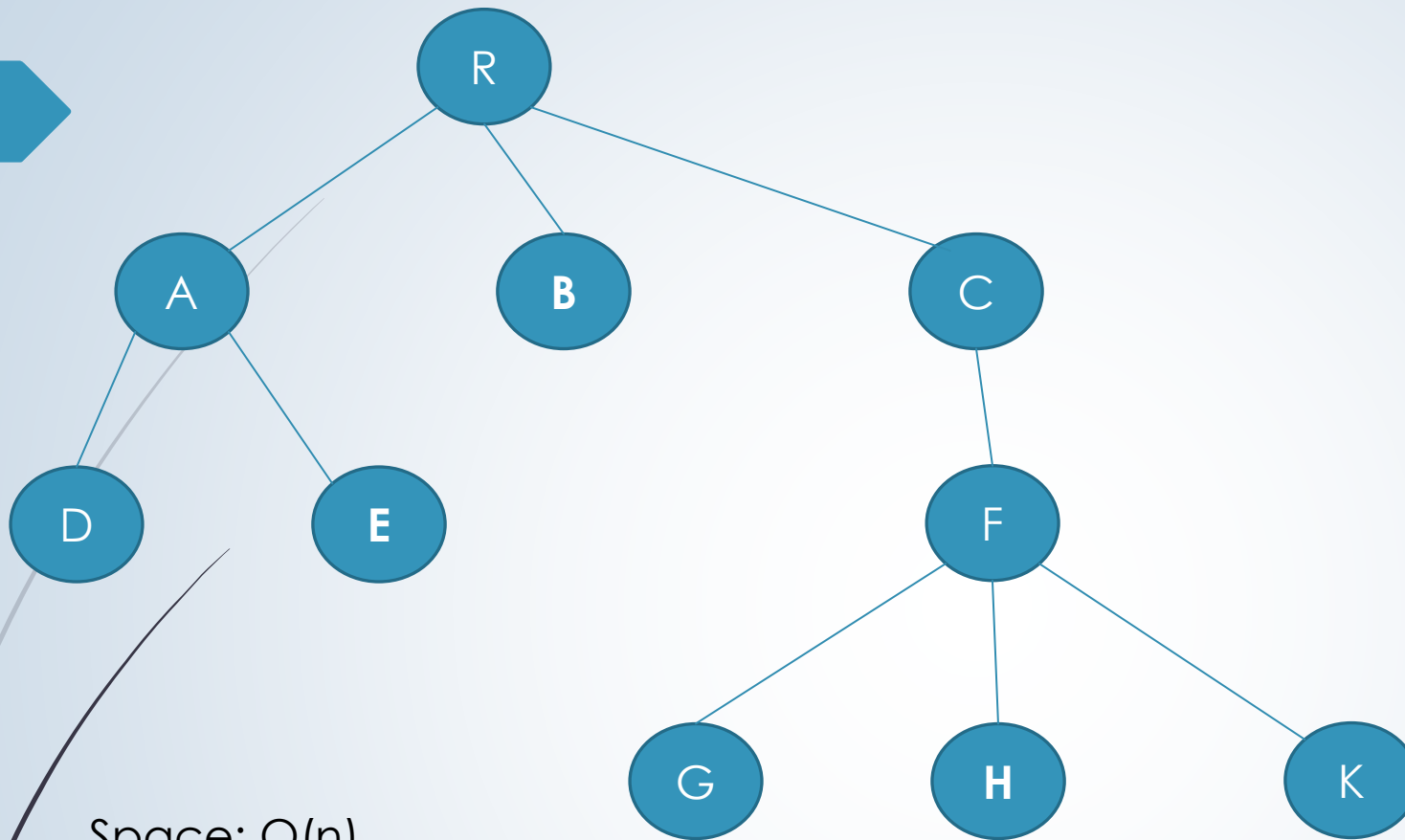
- Interface for each node
 - root()
 - parent()
 - firstChild()
 - nextSibling()
 - insert(i,e): insert e as the i-th child
 - remove(i): remove the i-th child
 - traverse()

Each non-root node has **one and only one** parent.

Idea: organize all the nodes as a sequence.



rank	data	parent
0	R	-1
1	A	0
2	B	0
3	C	0
4	D	1
5	E	1
6	F	3
7	G	6
8	H	6
9	K	6



rank	data	parent
0	R	-1
1	A	0
2	B	0
3	C	0
4	D	1
5	E	1
6	F	3
7	G	6
8	H	6
9	K	6

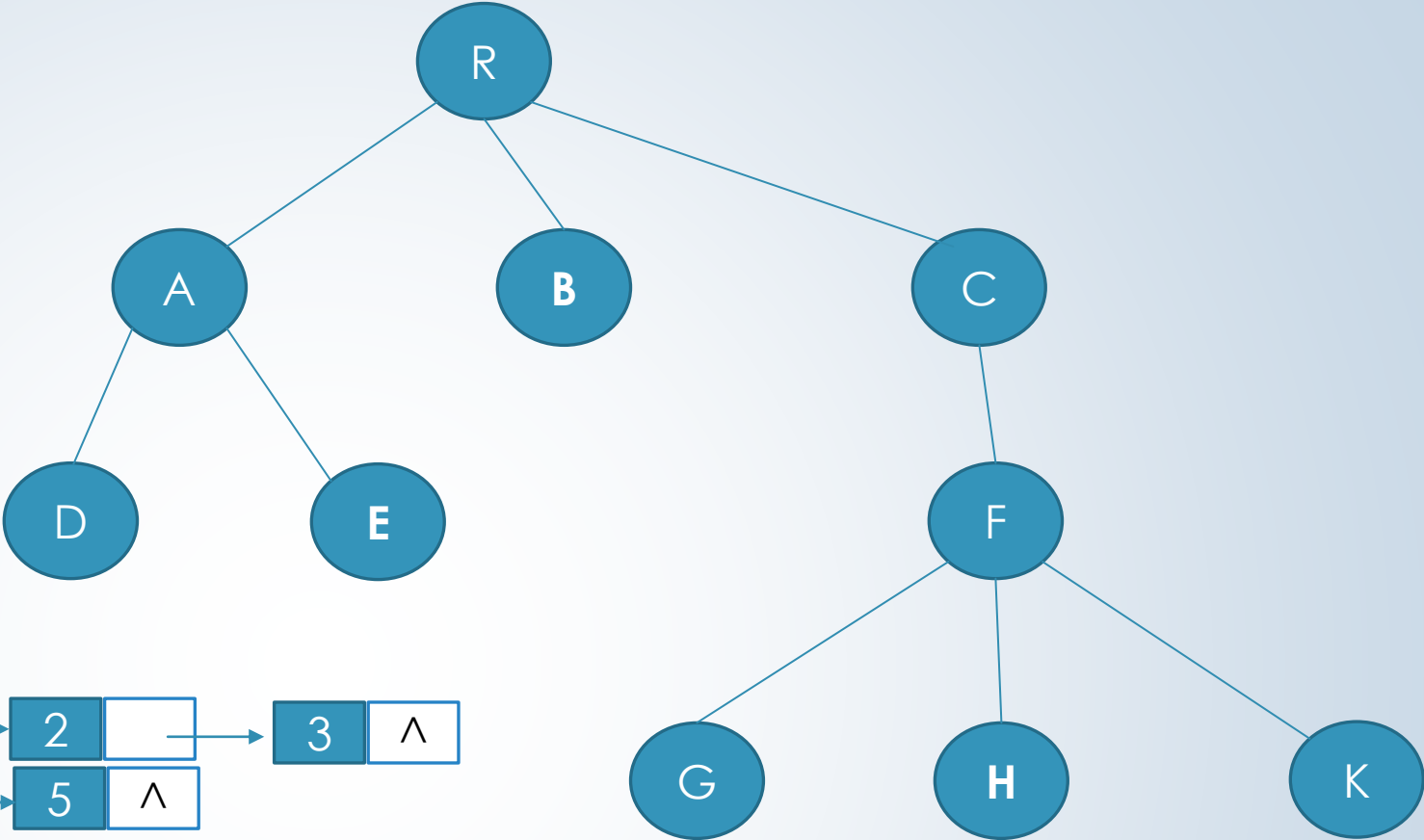
Space: $O(n)$

Time:

- `parent()`: $O(1)$
- `root()`: $O(n)$ or $O(1)$
- `firstChild()`: $O(n)$
- `nextSibling()`: $O(n)$

How to find child or sibling quickly?

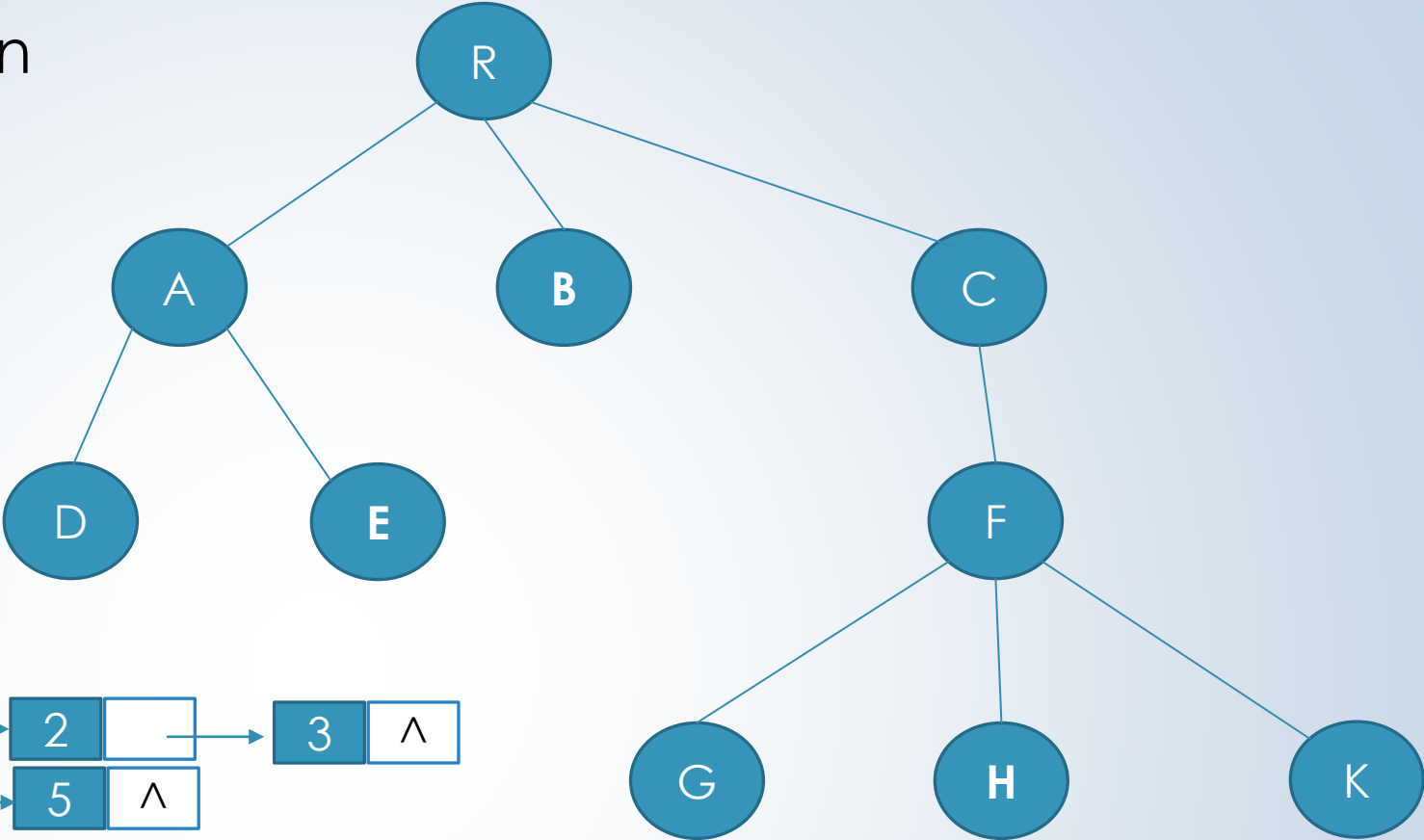
Finding Children



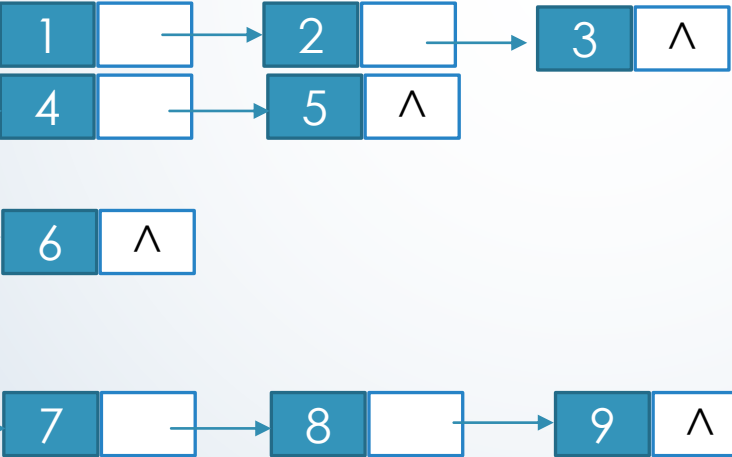
rank	data	children
0	R	
1	A	
2	B	^
3	C	
4	D	^
5	E	^
6	F	
7	G	^
8	H	^
9	K	^



Finding Parent and Children



rank	data	parent	children
0	R	-1	
1	A	0	
2	B	0	^
3	C	0	
4	D	1	^
5	E	1	^
6	F	3	
7	G	6	^
8	H	6	^
9	K	6	^



Problem: the degree may vary.

Left-child right-sibling representation

- In an n-ary tree, a node holds just two references, first a reference to its first child, and the other to its immediate next sibling.
- At each node,
 - link children of same parent from left to right.
 - Parent should be linked with only first child.

